



Prakash Industries Limited

Srivan, Bijwasan, New Delhi - 110061

CIN : L27109HR1980PLC010724

Tel. : 25305800, 28062115 Fax : 91-11-28062119

E-mail : pilho@prakash.com Website : www.prakash.com

PIL/SE/Disclosure/2020

29th July, 2020

Listing Department
BSE Ltd.
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai – 400001

Listing Department
National Stock Exchange of India Ltd
Exchange Plaza, 5th Floor, Plot No. C/1
G Block, Bandra-Kurla Complex,
Bandra (E), Mumbai - 400051

Company Code : 506022

Company Symbol : PRAKASH

Sub: Secretarial Compliance Report of the Company under Regulation 24(A) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ["SEBI Listing Regulations, 2015"] for the Financial Year ended 31st March, 2020

Dear Sir / Madam,

Please find enclosed herewith Annual Secretarial Compliance Report of the Company under Regulation 24(A) of the SEBI Listing Regulations, 2015, issued by Mr. Pradip Kumar Muduli, Practising Company Secretary; Secretarial Auditor of the Company for the financial year ended 31st March, 2020, pursuant to SEBI Circular No. CIR/CFD/CMD 1 /27 / 2019 dated February 08, 2019.

Kindly take the same on your record.

Thanking you,

Yours faithfully,
For Prakash Industries Limited

Ashwini Kumar

**Ashwini Kumar
Company Secretary**



Encls : as above

Pradip Kumar Muduli,
Practicing Company Secretary.
Email:pradipes@gmail.com

U-70, Shakarpur, Delhi-110092.

[Pursuant to Regulation 24A of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 08, 2019]

To,
Prakash Industries Limited
15 Km stone, Delhi Road
Hisar, Haryana – 125044

Secretarial compliance report of Prakash Industries Limited (CIN: L27109HR1980PLC010724) for the Financial year ended 31st March, 2020.

I Pradip Kumar Muduli, Practicing Company Secretary have examined:

- (a) all the documents and records made available to me and explanation provided by Prakash Industries Limited ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the financial year ended 31st March 2020 ("Review Period") in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **Not attracted during the period under review.**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;) **Not attracted during the period under review.**
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **Not attracted during the period under review.**
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **Not attracted during the period under review.**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) The Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009; **Not attracted during the period under review.**
- (j) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993; **Not attracted during the period under review;** and circulars/ guidelines issued thereunder;

and based on the above examination, I hereby report that, during the Review Period:



Pradip Kumar Muduli,

U-70, Shakarpur, Delhi-110092.

Practicing Company Secretary.

Email:pradipes@gmail.com

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1.	Regulation 31 of SEBI (LODR) relating to Shareholding Pattern for Quarter ending March 2020 required to be submitted to the Stock Exchanges by 15th May 2020.	There was delay in submission of Shareholding Pattern for Quarter ending March 2020 to the Stock Exchanges NSE & BSE which was done on 3 rd June 2020. The Company paid fine of Rs.37760 to NSE and Rs.40,120 to BSE.	As per explanation given by the management of the Company the delay was due to CoVID -19 virus pandemic for which the office was not fully operational and the Company has paid applicable fine to the Stock Exchanges.

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
	Stock Exchanges NSE & BSE	Fines imposed for delay in submission of Shareholding Pattern for Quarter ending March 2020	The Company paid fine of Rs.37760 to NSE and Rs.40,120 to BSE.	As per explanation given by the management of the Company the delay was due to CoVID -19 virus pandemic for which the office was not fully operational and the Company has paid applicable fine to the Stock Exchanges.

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

There was no adverse remarks in the previous report, hence, no actions was required to taken by the Company;



Place: New Delhi
Date : 27/06/2020

Name : Pradip Kumar Muduli
FCS No.:6170, CP :5730 UDIN: F006170B000390059